

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Kazumasa TAKABAYASHI, et al.**

Serial Number: **Not Yet Assigned**

Filed: **March 29, 2004**

For: **WAVELENGTH TUNABLE LASER AND METHOD OF CONTROLLING  
THE SAME**

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 29, 2004

Sir:

In compliance with 37 CFR 1.56, Applicants call to the attention of the Patent and  
Trademark Office the references listed on the attached PTO-1449.

A copy of each of the references, except for the U.S. patent references, is enclosed  
herewith.

In the event there are any fees due in connection with the filing of this paper, please  
charge Deposit Account No. 01-2340.

Respectfully submitted,  
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WLB/jaz  
Atty. Docket No. **040159**  
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**23850**

PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; References (5)

<b>INFORMATION DISCLOSURE STATEMENT PTO-1449</b>	Atty. Docket No. 040159	Serial No. <b>New Application</b>
	Applicant(s): <b>Kazumasa TAKABAYASHI, et al.</b>	
	Filing Date: <b>March 29, 2004</b>	Group Art Unit: <b>Not Yet Assigned</b>

### U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	2003/0142700 A1	G.D. Pontis, et al.	07/31/03			
_____	AB	4,622,672	L.A. Coldren, et al.	11/11/86			
_____	AC						

### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
_____	AD				
_____	AE				

### OTHER DOCUMENTS

_____	AF	Patent Abstracts of Japan, Number 7-335965, published December 22, 1995.		
_____	AG	Patent Abstracts of Japan, Number 2001-85774, published March 30, 2001./Discussed in the specification		
_____	AH	Patent Abstracts of Japan, Number 2001-251013, published September 14, 2001./Discussed in the specification		
_____	AI	Patent Abstracts of Japan, Number 2002-185074, published June 28, 2002./Discussed in the specification		
_____	AJ	A. Sharon, et al.; "Resonant grating-waveguide structures for visible and near-infrared radiation"; <i>Journal of the Optical Society of America A</i> ; Vol. 14; No. 11; November 1997; pp. 2985-2993./Discussed in the specification.		
<table border="1" style="width: 100%;"> <tr> <td style="width: 40%;">Examiner</td> <td style="width: 60%;">Date Considered</td> </tr> </table>			Examiner	Date Considered
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